

Name. _____		Printed Pages:01		
Student Admn. No.: _____				
<b>School of Law</b> <b>Back Paper Examination (Odd and Even Semester) – July - August 2024</b> [Programme: LLM ] [Semester: LLM Trimester III ) [Batch: ]				
Course Title: LAW RELATING TO SECURITIES		Max Marks: 100		
Course Code: MLAW5035		Time: 3 Hrs.		
<b>Instructions:</b>	1. All questions are compulsory. 2. Assume missing data suitably, if any.			
		K Level	COs	Marks
<b>SECTION-A (15 Marks)</b>		<b>5 Marks each</b>		
1.	Discuss how changes in global economic conditions impact FPI flows.			5
2.	Discuss the criteria used by stock exchanges and regulatory bodies to evaluate listing applications and grant approval?			5
3.	Discuss the regulatory framework governing foreign portfolio investment in India.			5
<b>SECTION-B (40 Marks)</b>		<b>10 Marks each</b>		
4.	Could you explain the legislative framework surrounding insider trading, including any amendments or updates made to relevant laws?			10
5.	How does SEBI promote transparency in the disclosure of insider trading-related information, including investigations, enforcement actions, and sanctions?			10
6.	How does the Depositories Act safeguard the interests of minority shareholders and small investors in the context of corporate actions and governance?			10
7.	What reporting requirements do insiders have under SEBI's insider trading regulations?			10
<b>SECTION-C (45 Marks)</b>		<b>15 Marks each</b>		
8.	Discuss the significance of the Reliance Industries Limited vs. SEBI case in shaping insider trading regulations in India?			15
9.	What are the different methods through which companies can offer securities to investors, such as public offerings, rights issues, private placements, and qualified institutional placements (QIPs)? Discuss the role of SEBI in regulating these methods.			15
10	How do insider trading regulations in India compare to those in other jurisdictions, and are there any lessons that SEBI can learn from international best practices?			15