

School of Law

Bachelor of Law

Mid Term Examination - May 2024

Duration : 90 Minutes

Max Marks : 50

Sem IV - J1UA406T / J1UB611T - Law on Corporate FinanceGeneral Instructions*Answer to the specific question asked**Draw neat, labelled diagrams wherever necessary**Approved data hand books are allowed subject to verification by the Invigilator*

- 1) Who acts as an intermediary between the issuer and the investor in a securities market? K2 (2)
- 2) Who regulates the Securities Market in India? How? K1 (3)
- 3) Which investment vehicle pools money from multiple investors to invest in stocks, bonds, or other securities? How? K2 (4)
- 4) What is the primary source of funds in Foreign Direct Investment (FDI)? How? K2 (6)
- 5) Discuss the forms of business in India and their relevance to Corporate Finance K3 (6)
- 6) Explain the functions of various intermediaries in the Securities Market. K3 (9)
- 7) Define the terms Promoters, Underwriters, and Debenture Trustees. K4 (8)
- 8) Explain the concept of Deemed Collective Investment Scheme (CIS). K4 (12)

OR

What is a Nidhi Company, and what activities are they permitted to undertake? K4 (12)