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School of Business

Bachelor of Business Administration in Banking Financial Services and Insurance
Semester End Examination - Nov 2023

Duration : 180 Minutes
Max Marks : 100

Sem V - D1UE501T - Merchant banking and Financial Services

General Instructions

Answer to the specific question asked

Draw neat, labelled diagrams wherever necessary

Approved data hand books are allowed subject to verification by the Invigilator

- 1) What is the difference between an IPO and an FPO? K1 (2)
- 2) Explain the concept of a Letter of Credit (LC) as a non-fund based financial service. K2 (4)
- 3) Explain the different types of mutual funds based on their investment objectives? K2 (6)
- 4) Construct the real-world forfeiting transactions and their outcomes for businesses involved? K3 (9)
- 5) Identify the post-issue obligations of merchant bankers in terms of monitoring and reporting? K3 (9)
- 6) Explain the disciplinary actions and penalties that can be imposed on merchant bankers for non-compliance with regulatory norms. K5 (10)
- 7) Discuss the limitations of forfeiting as compared to other trade finance mechanisms, such as letters of credit and bank guarantees. K4 (12)
- 8) How do merchant bankers evaluate the feasibility of large-scale projects and assess potential risks for investors? K5 (15)
- 9) Can IDRs be traded on Indian stock exchanges, and how is their liquidity ensured? Explain. K5 (15)
- 10) As a financial analyst, discuss and compare the historical performance of two mutual funds within the same category, considering factors such as returns, risk, expense ratio, and portfolio composition, to determine which fund is better suited for conservative investors. K6 (18)