

School of Business

Department of Business

Mid Term Examination

Exam Date: 01 Oct 2023

Time : 90 Minutes

Marks : 50

Sem V - D1UE501T - Merchant banking and Financial Services

Your answer should be specific to the question asked

Draw neat labeled diagrams wherever necessary

- 1) Differentiate between stocks and bonds as financial instruments. K2 (2)
- 2) What are the advantages of using exchange-traded funds (ETFs) as financial instruments? K1 (3)
- 3) Explain how working capital finance works as a fund based financial service. K2 (4)
- 4) How does recourse factoring differ from non-recourse factoring? K2 (6)
- 5) Identify, how can investors choose the right mutual fund for their investment goals? K3 (6)
- 6) How do Venture Capitalists construct exit strategies and timelines for their investments in startups? K3 (9)
- 7) Categorize the services provided by Merchant Banks related to international trade and foreign direct investments (FDI). K4 (8)
- 8) Analyze the due diligence role of merchant bankers in assessing the company's financials and market prospects before an IPO. K4 (12)

OR

List out the regulatory guidelines for handling client funds and the restrictions on the use of clients' money by merchant bankers in India. K4 (12)